Report

- USG or Non-USG personnel report potential violations to the Security Cooperation Organization (SCO) or to violation Inboxes, as appropriate
- If report is sent to SCO, SCO forwards to violation inboxes
- DSCA and Department of State (State) will share potential violation inputs from all sources



### Forward to DSCA and State/PM

### Document & Review

- DSCA documents potential violations in master repository
- DSCA assigns incident tracking number
- In consultation with State, if necessary, DSCA collects and obtains additional information (through various means, including focused verification checks, etc)
- DSCA engages with State for further adjudication

### **DSCA to State**

## Investigate & Determine Final Disposition

- Department of State, Political-Military Bureau, Office of Regional Security and Arms Transfers (State (PM/RSAT)) evaluates all information related to reported incidents and coordinates courses of action for all relevant parties
- PM/RSAT communicates investigation status and findings to DSCA and SCOs
- As required, PM/RSAT, in coordination with diplomatic and SC community, determines actions to be taken to address investigation findings
- PM/RSAT communicates proposed final disposition to DSCA, SCOs, and any other relevant parties
- PM/RSAT summarizes findings from investigations and confirms actual end-use violations to all relevant parties
- If actual end-use violation is confirmed, PM/RSAT formally notifies Congress and others of potential and actual end-use violations, as required



#### **Forward to DSCA**

# Close & Monitor

- DSCA will record the final dispositions for all reported potential end-use violations in the master repository
- DSCA and the SCO will assist in the application and enforcement of corrective actions, as determined by DoS
- DSCA and the SCO will closely monitor the status of confirmed end-use violations and, to the extent possible, take precautionary measures to reduce the risk of a repeat violation