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MEMORANDUM FOR DEPUTY UNDER SECRETARY OF THE AIR FORCE FOR
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EXPORTS AND COOPERATION
DEPUTY ASSISTANT SECRETARY OF THE NAVY FOR
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DIRECTOR, MISSILE DEFENSE AGENCY
DIRECTOR, NATIONAL GEOSPATIAL-INTELLIGENCE AGENCY
DEPUTY DIRECTOR FOR INFORMATION ASSURANCE,
NATIONAL SECURITY AGENCY

SUBJECT: Updates of Security Assistance Management Manual (SAMM) Chapter 11
Regarding C-12 Aircraft Management, and Chapter 12 Regarding Humanitarian
Assistance and Mine Action Program Administration and Funding Allocation,
DSCA Policy 16-41 [SAMM E-Change 322]

The attached updates are administrative in nature. The revision to Chapter 11 regarding C-12 Aircraft Management clarifies existing policy with regard to requirements for obtaining U.S. Combatant Command approval for C-12 aircraft flights. The update to Chapter 12 restores paragraphs previously in the SAMM that were deleted inadvertently in the course of an earlier update to Section 12.3.

For any questions regarding this policy, please contact Mike Slack DSCA/STR/SPI, at (703) 697-9058 or micheal.d.slack.civ@mail.mil.

A handwritten signature in black ink, appearing to read "Robert Helfant", is positioned above the typed name.

Robert Helfant
Principal Director
Strategy

Attachments:
As stated

cc:

USAFRICOM
USCENTCOM
USEUCOM
USNORTHCOM
USSOUTHCOM
USPACOM
USTRANSCOM
USSOCOM
STATE/PM-RSAT
USASAC
SATFA
TRADOC
NAVSUPWSS
NETSAFA
AFSAC
AFSAT
DISAM
MARCORIP
SCETC
USCG International Affairs (G-CI)

Updates of Security Assistance Management Manual (SAMM) Chapter 11 Regarding C-12 Aircraft Management, and Chapter 12 Regarding Humanitarian Assistance and Mine Action Program Administration and Funding Allocation, E-Change 322

1. Replace current paragraph C11.1.3. with the following revised text:

C11.1.3. Flight Approval Authority for DSCA C-12 Aircraft. If the SDO/DATT will be a traveler on the proposed C-12 mission, or for all non-SA missions (SC, DoD, CCMD, CODEL, etc.), the SDO/DATT will coordinate with the CCMD and follow established CCMD approval procedures (to include ensuring that the required fund cite is obtained) prior to approving the flight. If the SDO/DATT will not be a traveler on the proposed C-12 aircraft mission, the SDO/DATT is the approval authority for flights within his or her country or AOR in support of SA program management functions. The SDO/DATT retains responsibility for the proper use of the C-12 aircraft regardless of the agency using or funding its use. For DIA dedicated C-12 aircraft used jointly by the SCO, the SDO/DATT retains responsibility for proper C-12 aircraft uses for SCO missions.

2. Restore paragraphs C12.3.6. and C12.3.7. as follows:

C12.3.6. Program Administration.

C12.3.6.1. Congressional Notification Requirements Prior to OHDACA Funds

Allocation. DoD may not obligate or expend funds to transfer defense articles or services to other nations, or international organizations for international peacekeeping and peace-enforcement, or humanitarian assistance operations, unless DoD provides notification to Congress of such potential transfers fifteen days prior to doing so. An annual notification, executed by SOLIC, provides the blanket notification to fulfill the advance notification requirements in both the continuing appropriations act and annual appropriations and authorization acts for the DoD. OHDACA funding for a given FY may not be obligated until this fifteen-day notification is complete.

C12.3.6.2. Overseas Humanitarian Assistance Shared Information System (OHASIS).

OHASIS is the system of record for all OHDACA-funded humanitarian activities, as well as certain other Service Operations and Maintenance (O&M) funded activities (e.g. HCA, the Asia Pacific Regional Initiative (APRI)), and will be used during all stages of the project lifecycle. As such, OHASIS is the primary means for providing and receiving information, as well as producing reports, including those prepared in response to Congressional inquiry, Congressional reporting requirements, Government Accounting Office requests for information, and other data calls. CCMDs are required to use OHASIS for all OHDACA-funded projects.

C12.3.6.3. OHASIS serves as a comprehensive program management tool. The system is designed as a collaboration tool to develop, coordinate, and manage, OHDACA-funded projects at different organizations, including project officers, SCOs, the U.S. Agency for International Development (USAID), CCMD and DSCA Program Managers (PMs), and OSD(P) representatives. Projects are nominated, staffed, approved and tracked through implementation and closeout via the OHASIS website. New accounts may be requested through the website.

C12.3.6.4. Project Nomination Process. All OHDACA-funded projects will be introduced and managed in OHASIS throughout the lifecycle of the project. Prior to project review by DSCA, OHDACA-funded projects will be coordinated with the corresponding Country Team, including the SCO Chief and USAID Mission representative, and documented in OHASIS. Interagency coordination should occur early and often at all phases of the project cycle.

C12.3.6.5. Individual projects must describe how DoD, program, and project goals and objectives will be met in terms of the activities, and the specific goods and services that will be delivered. The OHASIS project nomination wizard provides the framework to assist in project formulation and additional supporting information can be uploaded as required. Nominations are reviewed by the CCMD, and a nomination may be approved, disapproved, or returned for further development and information at any time during the review process. Upon CCMD Program Office approval, nominations are submitted to DSCA to ensure fiscal, legal, and DoD policy guidance requirements are met prior to approval. Nominations may be approved, disapproved, or returned for further development and information during the DSCA review process.

C12.3.6.6. Out-of-cycle nominations over \$15,000 will be reviewed and approved or disapproved by DSCA, with an intermediate opportunity for SOLIC to review the projects for consistency with strategic guidance and interests. Regardless of the approval level, a CCMD may fund all approved projects at its discretion.

C12.3.7. Funding Allocation. Upon completion of the strategy and budget submission review process, DSCA will allocate OHDACA funds based on the strength of the CCMDs' budget submissions and ties to DoD and CCMD guidance, program history and execution rates, the availability of funds for that FY, and other pertinent factors. Additionally, DSCA will ensure its funding distribution maintains appropriate reserves for FDR activities as stipulated in the PB submission to Congress, as well as the crosscutting programs (e.g. transportation, HDTC & EP warehouse operations, HDRs, etc.).