

**DSCA 01-14**  
**Designation of Military Department Cognizance of Commercial**  
**Airborne Early Warning and Control (AEW&C) Programs**  
**5 September 2001**

5 September 2001  
In reply refer to:  
I-01/006468

MEMORANDUM FOR DEPUTY UNDER SECRETARY OF THE AIR FORCE  
(INTERNATIONAL AFFAIRS)  
DEPARTMENT OF THE AIR FORCE  
DIRECTOR, NAVY INTERNATIONAL PROGRAMS OFFICE  
DEPARTMENT OF THE NAVY

SUBJECT: Designation of Military Department Cognizance of Commercial Airborne  
Early Warning and Control (AEW&C) Programs (DSCA 01-14)

This memorandum supersedes DSCA policy 00-05 (dated 15 Mar 2000). Since that time additional commercial AEW&C derivatives (both airframe and radar) have been proposed.

On 18 October 1999, the Deputy Secretary of Defense signed the commercial AEW&C policy memorandum, attached. The purpose of this policy is to ensure that commercial AEW&C systems are interoperable and compatible with U.S. systems, and compliant with technology transfer provisions. The policy delegates a cognizant military department the authority to insist upon a cooperative program or an FMS case when necessary to ensure the requisite interoperability, compatibility, and compliance of DCS commercial AEW&C systems.

This DSCA memo implements the DEPSECDEF commercial AEW&C policy by assigning cognizance for each of the current commercial AEW&C systems to the military department as follows:

U.S.2 Air Force cognizance:

MESA Radar variants  
ELTA/PHALCON Radar variants (with U.S. industry integration)

U.S. Navy cognizance:

AURA Radar variants

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//Signed//  
TOME H. WALTERS, JR.  
LIEUTENANT GENERAL, USAF  
DIRECTOR

Attachment: As stated

DSCA (Orig)

SAF/IA (1cc)

NAVY IPO (1cc)

DSCA P3D (1cc)

DSCA P3D/WPNS (1cc)

Prepared by: CAPT David Bennett  
DSCA/P3D/WPNS  
604-6603

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**Attachment 1**  
**Commercial Airborne Early Warning and Control (AEW&C) Policy**  
**18 October 1999**

DEPUTY SECRETARY OF DEFENSE  
1010 DEFENSE PENTAGON  
WASHINGTON, D.C. 20301-1010

18 OCT 1999

MEMORANDUM FOR SECRETARIES OF THE MILITARY DEPARTMENTS  
UNDER SECRETARY OF DEFENSE FOR ACQUISITION  
AND TECHNOLOGY  
UNDER SECRETARY OF DEFENSE FOR POLICY  
DIRECTOR, DEFENSE SECURITY COOPERATION AGENCY  
DIRECTOR, DEFENSE THREAT REDUCTION AGENCY

Subject: Commercial Airborne Early Warning and Control (AEW&C) Policy

Current policy requires the radar and mission systems for E-3 and E-767 AWACS, E-2C, and C-130J using the E-2C mission system be sold via FMS. The purpose of this policy is to ensure interoperability, compatibility, supportability, releasability, fleetwide commonality for future upgrades, and compliance with technology transfer policy issues. However, commercial AEW&C systems are being offered to U.S. allies for military use through direct commercial sales under export licenses with little Department of Defense (DoD) insight into the final technology being transferred and no assurance that the delivered systems will be interoperable/compatible with DoD systems in coalition operations. It is in the best interest of the Government to have more DoD involvement in direct commercial sales that include AEW&C systems.

Effective immediately, for direct commercial sales that include AWE&C systems, the cognizant military department shall insist upon establishment of a government-to-government relationship, either via a cooperative program or an FMS case, when necessary to ensure interoperability and compatibility with U.S. systems and compliance with technology transfer limitations. This requirement will be incorporated in appropriate munitions case provisions that will be forwarded to the Department of State for inclusion in export licenses for direct commercial sales that include these systems.

//Signed//  
John J. Hamre