



DEFENSE SECURITY COOPERATION AGENCY
2800 DEFENSE PENTAGON
WASHINGTON, D.C. 20301-2800

18 AUG 2021

MEMORANDUM FOR DEPUTY UNDER SECRETARY OF THE AIR FORCE FOR
INTERNATIONAL AFFAIRS
DEPUTY ASSISTANT SECRETARY OF THE ARMY FOR
DEFENSE EXPORTS AND COOPERATION
DEPUTY ASSISTANT SECRETARY OF THE NAVY FOR
INTERNATIONAL PROGRAMS
DIRECTOR, DEFENSE CONTRACT MANAGEMENT AGENCY
DIRECTOR FOR SECURITY ASSISTANCE, DEFENSE FINANCE
AND ACCOUNTING SERVICE – INDIANAPOLIS OPERATIONS
DIRECTOR, DEFENSE INFORMATION SYSTEMS AGENCY
DIRECTOR, DEFENSE LOGISTICS AGENCY
DIRECTOR, DEFENSE THREAT REDUCTION AGENCY
DIRECTOR, MISSILE DEFENSE AGENCY
DIRECTOR, NATIONAL GEOSPATIAL – INTELLIGENCE
AGENCY
DIRECTOR OF CYBERSECURITY DIRECTORATE AND DEPUTY
NATIONAL MANAGER FOR NATIONAL SECURITY SYSTEMS,
NATIONAL SECURITY AGENCY

SUBJECT: Security Assistance Management Manual (SAMM), Administrative Changes,
Defense Security Cooperation Agency (DSCA) Policy 21-57 [SAMM E-Change
539]

This memorandum updates the SAMM with clerical and administrative changes. This memorandum does not contain contextual policy changes. DSCA will issue contextual changes to the SAMM under a separate policy memo/SAMM E-change. These administrative changes will be included in the online version of the SAMM at <https://samm.dsca.mil>.

The SAMM changes identified in this memorandum are effective immediately. For questions, please contact Erienne Terpak, Erienne.N.Terpak.civ@mail.mil, 571-294-9118.

A handwritten signature in black ink, appearing to read "Alan Gorowitz", is positioned above the typed name.

Alan Gorowitz
Assistant Director
Strategy, Plans, and Policy

Attachment:

- SAMM E-Change 539

cc:

STATE/PM-RSAT

AFRICOM

CENTCOM

EUCOM

INDOPACOM

NORTHCOM

SOCOM

SOUTHCOM

TRANSCOM

USASAC

TRADOC/SATFA

USACE

NAVSUP WSS

NETSAFA

AFSAC

AFSAT

DSCU

MARCOR IP

SCETC

USCG International Affairs (G-CI)

**Security Assistance Management Manual (SAMM), E-Change 539
Administrative Changes**

Notice: Changes are highlighted in RED TEXT for each SAMM Admin change below.

1. Update SAMM section C5.4.14.5. to include “Night Vision Device approval.”
 - Current Wording:
 - C5.4.14.5. Other Documents. If there are any waivers or unique circumstances related to the case, applicable documentation should be included as part of the electronic package. Such information includes, but is not limited to, EDA approval; NC waivers; DTSA approval; 36(b) notification; Yockey Waiver; National Security Agency (NSA) approval; and Grant Agreements.
 - Revised Wording:
 - C5.4.14.5. Other Documents. If there are any waivers or unique circumstances related to the case, applicable documentation should be included as part of the electronic package. Such information includes, but is not limited to, EDA approval; NC waivers; **Night Vision Device approval**; 36(b) notification; Yockey Waiver; National Security Agency (NSA) approval; and Grant Agreements.

2. Update SAMM C5.F6. Instructions for Preparing a Letter of Offer and Acceptance (LOA) to eliminate “Class IV/V” wording.

- Current Wording:

U.S. ARMY	U.S. NAVY	U.S. AIR FORCE
... V: Class IV/V Modifications

- Revised Wording:

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U.S. ARMY	U.S. NAVY	U.S. AIR FORCE
... V : Modifications/ Upgrades

3. Update SAMM Section C6.4.1. to align with current Chairman of the Joint Chiefs of Staff Instruction (CJCSI).

- Current Wording:

- C6.4.1. Priority. Chairman of the Joint Chiefs of Staff Instruction (CJCSI) 4110.01D, Joint Material Priorities and Allocation, establishes priorities for filling requisitions based on the purchaser’s Force Activity Designator (FAD) (established by the Chairman of the Joint Chiefs of Staff (CJCS)) and on the

Urgency of Need Designator (UND), assigned on the requisition. FADs are ranked with FAD I being the highest and FAD V being the lowest priority. Per Enclosure (D) of CJCSI 4110.01D, the Joint Materiel Priority Allocation Board (JMPAB) is chartered to act on the Chairman’s behalf to review and act upon requests for changes to a FAD.

○ Revised Wording:

- **C6.4.1. Priority.** Chairman of the Joint Chiefs of Staff Instruction (CJCSI) **4110.01E**, Joint Material Priorities and Allocation, establishes priorities for filling requisitions based on the purchaser’s Force Activity Designator (FAD) (established by the Chairman of the Joint Chiefs of Staff (CJCS)) and on the Urgency of Need Designator (UND), assigned on the requisition. FADs are ranked with FAD I being the highest and FAD V being the lowest priority. Per Enclosure (D) of CJCSI **4110.01E**, the Joint Materiel Priority Allocation Board (JMPAB) is chartered to act on the Chairman’s behalf to review and act upon requests for changes to a FAD.

4. Update SAMM C8.T2. to align with [DSCA Policy 20-64](#) for MILDEPs and Implementing Agencies (IAs).

○ Current Wording:

Table C8.T2. DoD End-Use Monitoring Responsibilities

ORGANIZATION	RESPONSIBILITY
MILDEPs and Implementing Agencies (IAs)	<ul style="list-style-type: none"> • Ensure their respective program offices are aware of their responsibility to apply or develop physical security and accountability notes and EEUM checklists Enhanced Case-Unique articles. • <ul style="list-style-type: none"> ○ Shipping ○ Use ○ ...

○ Revised Wording:

Table C8.T2. DoD End-Use Monitoring Responsibilities

ORGANIZATION	RESPONSIBILITY
MILDEPs and Implementing Agencies (IAs)	<ul style="list-style-type: none"> • Ensure their respective program offices are aware of their responsibility to apply or develop physical security and accountability notes and EEUM checklists Enhanced Case-Unique articles. • Contribute to the improvement and monitoring of the phases of the export process that are most susceptible to diversion or retransfer: <ul style="list-style-type: none"> ○ Shipping ○ Use

	○ ...
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5. Update SAMM Section C8.T2. to reference “DoD GS EEUM checklists” in all of the following instances:

- Current Wording:

Table C8.T2. DoD End-Use Monitoring Responsibilities

ORGANIZATION	RESPONSIBILITY
MILDEPs and Implementing Agencies (IAs)	<ul style="list-style-type: none"> • ... • In coordination with DSCA (DSA) develop Golden Sentry security and accountability checklists for EEUM-designated technologies and/or case-unique specific individual transfers designated for EEUM that SCOs will use during annual inspections. • ... • Ensure their respective program offices are aware of their responsibility to apply or develop physical security and accountability notes and EEUM checklist for Enhanced Case-Unique articles. • ...
Security Cooperation Organizations (SCOs) (including Defense Attaché Offices and U.S. Diplomatic Missions with Security Assistance responsibilities)	<ul style="list-style-type: none"> • ... • Conduct EEUM in accordance with established checklists to verify recipients are complying with the physical security and accountability provisos annotated in LOAs containing EEUM-designated items. • ...

- Revised Wording:

Table C8.T2. DoD End-Use Monitoring Responsibilities

ORGANIZATION	RESPONSIBILITY
MILDEPs and Implementing Agencies (IAs)	<ul style="list-style-type: none"> • ... • In coordination with DSCA (DSA) develop DoD Golden Sentry (GS) EEUM checklists for EEUM-designated technologies and/or case-unique specific individual transfers designated for EEUM that SCOs will use during annual inspections. • ... • Ensure their respective program offices are aware of their responsibility to apply or develop physical security and accountability notes.

	<ul style="list-style-type: none"> • ...
Security Cooperation Organizations (SCOs) (including Defense Attaché Offices and U.S. Diplomatic Missions with Security Assistance responsibilities)	<ul style="list-style-type: none"> • ... • Conduct EEUM in accordance with established DoD GS EUM checklists to verify recipients are complying with the physical security and accountability provisos annotated in LOAs containing EEUM-designated items.

6. Update SAMM Section C8.T3. SOP Minimum Information Requirements to include the correct name of the referenced checklist.

o Current Wording:

#	SOP MINIMUM INFORMATION REQUIREMENTS
3	Procedures for conducting timely physical security checks of the storage facilities and inventories of EEUM-designated defense articles and services in accordance with Golden Sentry checklists; use of the SCIP-EUM database to track inventories and maintain an accurate disposition of EEUM-designated items; maintenance of records of Routine and Enhanced EUM checks (by using checklists); and notifying the partner nation of all EEUM checks performed for information and/or corrective action.

o Revised Wording:

#	SOP MINIMUM INFORMATION REQUIREMENTS
3	Procedures for conducting timely physical security checks of the storage facilities and inventories of EEUM-designated defense articles and services in accordance with DoD GS EUM checklists ; use of the SCIP-EUM database to track inventories and maintain an accurate disposition of EEUM-designated items; maintenance of records of Routine and Enhanced EUM checks (by using DoD GS EUM checklists); and notifying the partner nation of all EEUM checks performed for information and/or corrective action.

7. Update SAMM Section C8.3.1.1. to include the correct name of the referenced template.

o Current Wording:

- C8.3.1.1. To assist SCOs in conducting REUM checks, DSCA has developed an FMS REUM Summary Report located in the SCIP-EUM database under the Queries/Reports menu. This report provides a list of specific categories of defense articles exported via FMS that includes, but is not limited to: battle tanks, armored combat vehicles, artillery systems, fixed and rotary wing aircraft, unmanned aerial systems, warships and military vessels, missiles and missile systems, military vehicles, bombs, crew served and individual weapons, and night vision systems. To assist SCOs in electronically documenting REUM checks performed, DSCA developed a REUM checklist template located in the SCIP-EUM database under the Support-Policy/Procedures Memos.

- Revised Wording:
 - C8.3.1.1. To assist SCOs in conducting REUM checks, DSCA has developed an FMS REUM Summary Report located in the SCIP-EUM database under the Queries/Reports menu. This report provides a list of specific categories of defense articles exported via FMS that includes, but is not limited to: battle tanks, armored combat vehicles, artillery systems, fixed and rotary wing aircraft, unmanned aerial systems, warships and military vessels, missiles and missile systems, military vehicles, bombs, crew served and individual weapons, and night vision systems. To assist SCOs in electronically documenting REUM checks performed, DSCA developed a **REUM template** located in the SCIP-EUM database under the Support-Policy/Procedures Memos.

- 8. Update SAMM Section C8.4.1.3. to include the correct name for the referenced checklist.
 - Current Wording:
 - C8.4.1.3. EEUM checks must be performed using Golden Sentry physical security and accountability checklists developed by the MILDEPs and the policy guidance and procedures published in the SCIP-EUM database. One checklist must be used and filled out for each storage facility assessed. All EEUM checks must be recorded using the electronic fillable checklists, and the checklists must be attached to the inventory records electronically via the SCIP-EUM database. Golden Sentry seals (cables and labels) can be installed on containers (if feasible and the partner nation allows it), to increase security of the missiles and reduce labor during future annual inventories. The seals are obtained from DSCA's EUM team but must be controlled by USG personnel to prevent proliferation.
 - Revised Wording:
 - C8.4.1.3. EEUM checks must be performed using **DoD GS EEUM checklists and the policy guidance and procedures published in the SCIP-EUM database.** One checklist must be used and filled out for each storage facility assessed. All EEUM checks must be recorded using the **DoD GS EEUM checklists**, and the checklists must be attached to the inventory records electronically via the SCIP-EUM database. Golden Sentry seals (cables and labels) can be installed on containers (if feasible and the partner nation allows it), to increase security of the missiles and reduce labor during future annual inventories. The seals are obtained from DSCA's EUM team but must be controlled by USG personnel to prevent proliferation.

- 9. Update SAMM Section C8.4.2.4. to include the correct name for the referenced checklist.
 - Current Wording:
 - C8.4.2.4. Developing and validating EEUM checklists. DSCA (DSA AMP Division) will coordinate with the respective MILDEP/IA, as required, to develop and publish in the Security Cooperation Information Portal (SCIP)

EUM database the inspection checklist for the weapons system or technology designated for EEUM. The relevant Security Cooperation Office (SCO) and DSCA (DSA AMP Division) will validate the draft inspection checklist during EEUM checks, and will provide the MILDEP/IA recommendations for improvement when required.

- Revised Wording:
 - C8.4.2.4. Developing and validating DoD GS EEUM checklists. DSCA (DSA AMP Division) will coordinate with the respective MILDEP/IA, as required, to develop and publish in the Security Cooperation Information Portal (SCIP) EUM database the **DoD GS EEUM checklists** for the weapons system or technology designated for EEUM. The relevant Security Cooperation Office (SCO) and DSCA (DSA AMP Division) **will validate the DoD GS EEUM checklists** during EEUM checks, and will provide the MILDEP/IA recommendations for improvement when required.

10. Update the last box on the flow chart of Figure C8.F1. Designating Defense Articles for Enhanced End-Use Monitoring) (EEUM) to reflect the name of the referenced checklist.

- Current Wording:
 - Develop and Validate Checklist through SCO EEUM checks and DSCA CAVs
- Revised Wording:
 - Develop and Validate **DoD GS EEUM Checklist** through SCO EEUM checks and DSCA CAVs

11. Update SAMM Section C8.5.3.3.1 to correct minor typo and include the correct name for the referenced checklist.

- Current Wording:
 - C8.5.3.3.1. CO compliance with the policies and procedures of the Golden Sentry program SCO responsibilities stated in the SCO checklist published in the SCIP-EUM database and Table C8.T2. including:
 - ...
 - Timely performance of physical security and accountability checks of all EEUM-designated defense articles in accordance with Golden Sentry checklists;
- Revised Wording:
 - C8.5.3.3.1. **SCO** compliance with the policies and procedures of the Golden Sentry program SCO responsibilities stated in the SCO checklist published in the SCIP-EUM database and Table C8.T2. including:
 - ...

- Timely performance of physical security and accountability checks of all EEUM-designated defense articles in accordance with **DoD GS EEUM checklists**;

12. Update C10.6.15 to reference the correct Chairman of the Joint Chiefs of Staff (CJCS) Instruction.

- Current Wording:
 - C10.6.15. Invitation-Only Professional Military Education (PME). ... The CJCS Guide 1800, International PME Strategy, provides additional guidance to the CCMDs to shape their prioritized inputs.
- Revised Wording:
 - C10.6.15. Invitation-Only Professional Military Education (PME). ... [The CJCS Instruction 1800.01F, Officer Professional Military Education Policy](#), provides additional guidance to the CCMDs to shape their prioritized inputs.

13. Revise SAMM Section C10.11.1.2 with minor clarification.

- Current Wording:
 - C10.11.1.2. Foreign participants in joint or combined exercises, Subject Matter Expert Exchanges, or other visits (with the exception of Orientation Visits/Distinguished Visitor Orientation Visits (OT/DVOT) which require both an FVR and an IT), that do not explicitly involve instruction/training must travel on a FVR, not on an ITO.
- Revised Wording:
 - C10.11.1.2. Foreign participants in joint or combined exercises, Subject Matter Expert Exchanges, or other visits (with the exception of Orientation Visits/Distinguished Visitor Orientation Visits (OT/DVOT) which require both an FVR and an **ITO**, that do not explicitly involve instruction/training must travel on a FVR, not on an ITO.

14. Revise SAMM Section C10.19.2.1. to include the Defense Counterintelligence and Security Agency (DCSA) in the Unauthorized Absence of Students notification chain.

- Current Wording:
 - C10.19.2.1. Notify the Department of Homeland Security/Immigration and Customs Enforcement (DHS/ICE) office, both local and national office by email at CTCEU@ice.dhs.gov; the appropriate MILDEP training manager and country program manager; the CCMD Training Office; and DSCA (Building Partner Capacity Directorate (BPC) Institutional Capacity Building (ICB) Division). The notification should include, but is not limited to the items listed in Table C10.T18.
- Revised Wording:

- C10.19.2.1. Notify the Department of Homeland Security/Immigration and Customs Enforcement (DHS/ICE) office, both local and national office by email at CTCEU@ice.dhs.gov; the **Defense Counterintelligence and Security Agency (DCSA)** at dcsa.quantico.dcsa.mbx.esc@mail.mil; the appropriate MILDEP training manager and country program manager; the CCMD Training Office; and DSCA (Building Partner Capacity Directorate (BPC) Institutional Capacity Building (ICB) Division). The notification should include, but is not limited to the items listed in Table C10.T18.

15. DSCA Policy 21-15 incorrectly identified Significant Military Equipment as being applicable to having NC Charges waived. Revise SAMM Section C11.9.6.5.1.3. to correctly state Major Defense Equipment (MDE) as the applicable category of equipment. Additionally, update DSCA Policy 21-15 and the memo’s status to reflect this change.

○ Current Wording:

- C11.9.6.5.1.3. Non-Recurring Costs. Applicable non-recurring costs (NC) paid by SDAF will be added to the base price to compute the SDAF selling price. If the item being sold is classified as **Significant Military Equipment** and the USG has developed an NC for the item (paid for by other than SDAF funds), that NC may be waived under normal procedures. ...

○ Revised Wording:

- C11.9.6.5.1.3. Non-Recurring Costs. Applicable non-recurring costs (NC) paid by SDAF will be added to the base price to compute the SDAF selling price. If the item being sold is classified as **Major Defense Equipment (MDE)** and the USG has developed an NC for the item (paid for by other than SDAF funds), that NC may be waived under normal procedures. ...

16. Add the following item to the 2021 Appendix 2 “Transportation Cost Look-Up Table,” under Air Force Annex – Small Diameter Bombs:

NSN	Item	Code 8	Code 10
1325-01-598-9075	GBU-53/B	\$483	\$1077

17. Amend SAMM Appendix 6 “Case Closure – BPC” to include clarification on case closure timelines. This change is required on year expiration and cancellation authorities like GSCF. A few recent GSCF cases extended the PoP for training beyond the implemented +5 year time frame. This revision should allow sufficient time to complete all closure activities from supply services complete.

○ Current Wording:

Note Text:	"Closure activities will begin once all lines are supply service complete. Case closure will be completed not later than July 31, [insert Cancelling FY, or if Cancelling FY is N/A, insert Implemented FY+5]."
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○ Revised Wording:

Note Text:	"Closure activities will begin once all lines are supply service complete. Case closure will be completed not later than July 31, [insert Cancelling FY, or if Cancelling FY is N/A, insert Implemented FY+5 or +2 from last delivery/service if performance extends beyond Implemented FY+5]."
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18. Update SAMM Appendix 6 Note on “Night Vision Devices (NVDs) Physical Security and Accountability – FMS”, bullet #3 with minor clarification on the title of the memo referenced.

- Current Wording:
 - 3. The Director, DTSA authorized this transfer as delineated in the Defense Security Cooperation Agency (DSCA) Night Vision Implementation Memorandum(a) dated: [insert date(s) or "N/A"] and/or previously by the DTSA waiver(s) dated: [insert date(s) or "N/A"]."
- Revised Wording:
 - 3. The Director, DTSA authorized this transfer as delineated in the Defense Security Cooperation Agency (DSCA) Night Vision **Release Authorization** Memorandum (a) dated: [insert date(s) or "N/A"].

19. Update SAMM Appendix 6 Note on “Night Vision Devices (NVDs) Physical Security and Accountability – BPC”, bullet #3 with minor clarification on the title of the memo referenced.

- Current Wording:
 - 3. The Director, DTSA, authorized this transfer as delineated in the Defense Security Cooperation Agency (DSCA) Night Vision Implementation Memorandum(a) dated: [insert date(s) or "N/A"] and/or previously by the DTSA waiver(s) dated: [insert date(s) or "N/A"]."
- Revised Wording:
 - 3. The Director, DTSA authorized this transfer as delineated in the Defense Security Cooperation Agency (DSCA) Night Vision **Release Authorization** Memorandum (a) dated: [insert date(s) or "N/A"]."