



DEFENSE SECURITY COOPERATION AGENCY

2800 DEFENSE PENTAGON
WASHINGTON, D.C. 20301-2800

MEMORANDUM FOR COMMANDERS OF THE COMBATANT COMMANDS
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AGENCY
DIRECTOR, DEFENSE LOGISTICS INFORMATION SERVICE
DIRECTOR, DEFENSE LOGISTICS AGENCY DISPOSITION
DEPUTY DIRECTOR FOR INFORMATION ASSURANCE,
NATIONAL SECURITY AGENCY

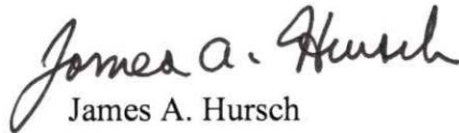
SUBJECT: Defense Security Cooperation Agency Policy 23-44, Update to Chapter 8 Enhanced End Use Monitoring Designation Process Flow based on Department of Defense Office of the Inspector General Project Number D2023-DEV0PC-0073.001 Recommendations [SAMM E-Change 644]

REFERENCE: Department of Defense (DoD) Management Advisory: Report Number [DODIG-2023-074](#), May 29, 2023, DoD Review and Update of Defense Articles Requiring Enhanced End Use Monitoring.

This memo serves as notification of updates to the Security Assistance Management Manual (SAMM) to overhaul processes for defense articles requiring Enhanced End Use Monitoring (EEUM). These updated processes are in response to the recommendations outlined in the final report from a Department of Defense Inspector General (DoDIG) audit and include: 1) a recurring review process, 2) an update to the existing process for designating defense articles as “enhanced,” and 3) a process flow for defense articles no longer requiring EEUM.

If you have questions regarding this change, please contact Mr. John Oswald, DSCA (Office of International Operations, Global Execution Directorate (IOPS/GEX), at (703) 697-9427 or John.A.Oswald2.civ@mail.mil. For general questions relating to the SAMM, please contact Ms.

Mel Dockstader, DSCA (Office of Strategy, Plans, and Policy, Execution Policy and Analysis Directorate (SPP/EPA)), at (703) 692-6657 or Melissa.M.Dockstader.civ@mail.mil. The SAMM is available at <https://samm.dscamil>.


James A. Hursch
Director

Attachment:
Security Assistance Management Manual E-Change 644

Security Assistance Management Manual (SAMM), E-Change 644

EEUM Designation Process Flow

1) Update the SAMM Chapter 8 as follows:

Update	Current Wording	Revised Wording
Table C8.T2. DoD End-Use Monitoring Responsibilities DSCA (IOPS/GEX/AMD)	<ul style="list-style-type: none"> Ensure that DSAMS has a complete and current listing of MASLs for all items that are EEUM on an annual basis. Update the DSAMS listing of MASLs for EEUM items as they are designated for addition or removal. 	<ul style="list-style-type: none"> Remove both entries. Note: New DSCA Policy 21-43 (MASL Process) supersedes this statement.
Table C8.T2. DoD End-Use Monitoring Responsibilities Row: MILDEPs and Implementing Agencies (IAs)	<ul style="list-style-type: none"> In coordination with the DSCA (IOPS/GEX/AMD), identify and provide DSCA a recommended listing and decision memos of sensitive technologies and defense articles to be considered for EEUM. Provide DSCA (IOPS/GEX/AMD) with supporting information for the basis for EEUM in accordance with SAMM Figure C8.F1. Provide DSCA (DSA-AMP & WPNs) a copy of the decision memo when Enhanced case unique articles are designated as EEUM by higher policy guidance and directives. 	<ul style="list-style-type: none"> In coordination with DSCA (IOPS/GEX/AMD) & DSCA (IOPS/WPNs), identify and provide a recommended listing and release decisions of sensitive technologies and defense articles to be considered for EEUM in accordance with Figure C8.F1. Annually review defense articles designated for EEUM to ensure the requirement for enhanced physical security and accountability is still relevant. Follow the process flow in Figure C8.F4. to recommend removal of any defense articles deemed to no longer require EEUM.
Section C8.4.2. EEUM Designation Process and Follow-on Response	<ul style="list-style-type: none"> Figure C8.F1. depicts the decision process used to determine whether an item or an individual transfer will be identified and designated for EEUM. 	<ul style="list-style-type: none"> Figure C8.F1. depicts the decision process used to determine whether an item or an individual transfer will be identified and designated for EEUM. Figure C8.F2. (standard EEUM) and Figure C8.F3. (case unique EEUM) depicts the flow process response to items designated as requiring EEUM.
C8.T6. EUM Compliance	<ul style="list-style-type: none"> The DSCA CAV team lead submits to the Principal 	<ul style="list-style-type: none"> The DSCA CAV team lead submits to the Director DSCA or Assistant

Update	Current Wording	Revised Wording
Assessment Visit Timeline and Requirements Event No. 15	Director, (IOPS) (through the Division Chief), the final CAV report, (using the format in Figure C8.F4), providing overall assessment results of "Satisfactory", "Needs Improvement", or "Unsatisfactory" for the partner nation and the SCO Country Team.	Director (IOPS) for final CAV report approval , providing overall assessment results of "Satisfactory", "Needs Improvement", or "Unsatisfactory" for the partner nation and the SCO Country Team.

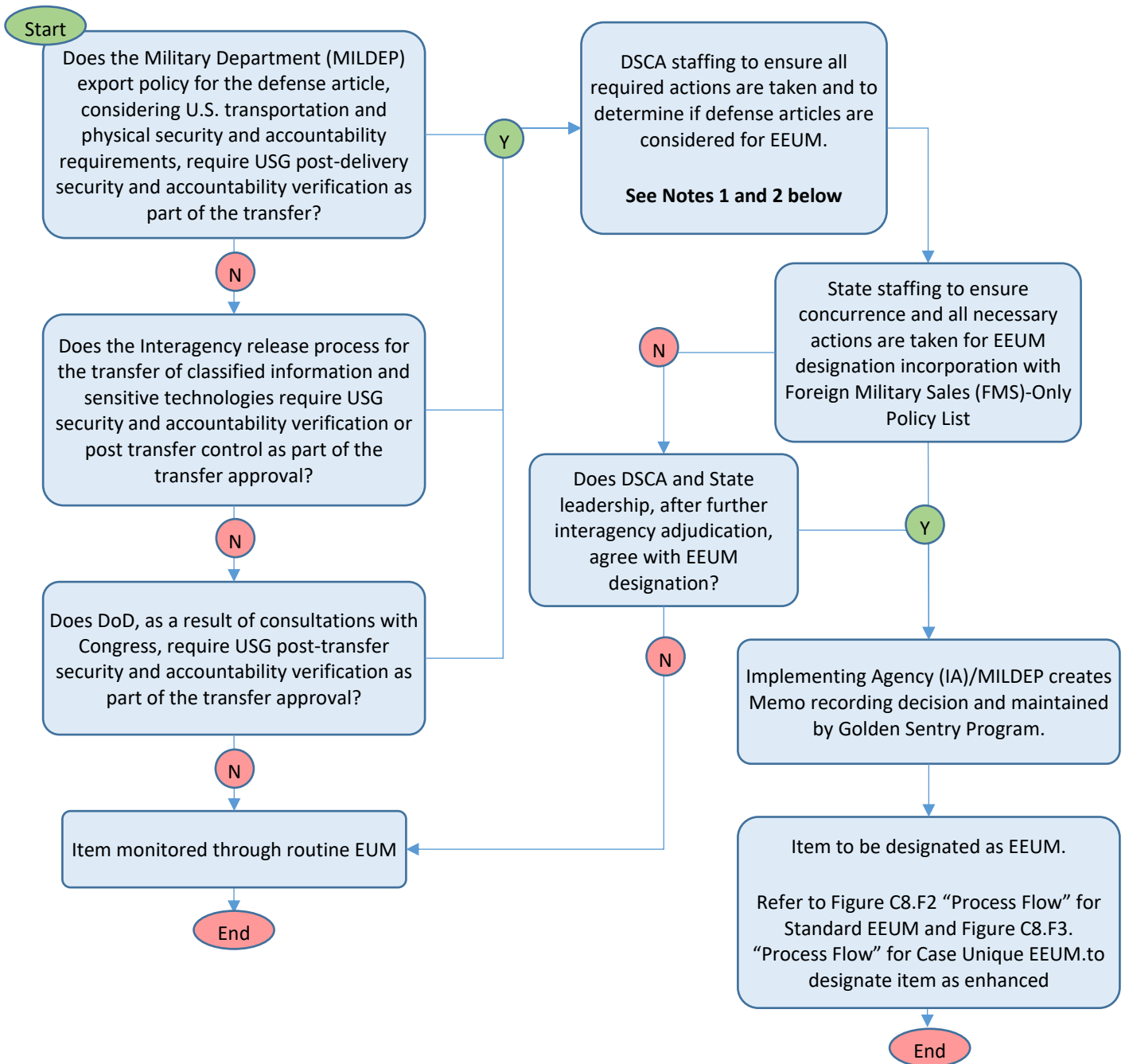
2) Update the Figures as Follows:

Figure	Change
C8.F1.	<p>Replace Existing C8.F1. with the revised figure.</p> <p>The figure was updated to only include the process of designating defense articles for EEUM. The process flow after EEUM determination was added to C8.F2 (standard EEUM) and C8.F3 (case unique EEUM).</p> <p>See updated figure below.</p>
C8.F2.	<p>Insert New Process chart after existing C8.F1.</p> <p>The process flow from the existing figure C8.F1. was added to this new chart. This figure now only includes the process flow ‘after EEUM determination.’</p> <p>See new figure below.</p>
C8.F3.	<p>Replace Existing C8.F2. with the revised figure after new figure C8.F2.</p> <p>The process flow was updated to identify the process vice only explaining the details within DSAMS.</p> <p>See updated figure below.</p>
C8.F4.	<p>Insert New Process chart after new figure C8.F3.</p> <p>New flow chart created based on DoD IG recommendation.</p> <p>See new figure below.</p>
C8.F5.	<p>Rename figure as “Potential Section 3 Violation Reporting Process.”</p> <p>Was: Section 3 Violation Reporting Process</p> <p>Renumber Figure as C8.F5.</p> <p>Was C8.F3.</p>

	No change to existing figure/process.
C8.F6.	<p>Rename figure as: "Certification of Demilitarization"</p> <p>Was "Sample Format of a DEMIL Certificate"</p> <p>Renumber figure as C8.F6.</p> <p>Was C8.F4.</p> <p>No change to existing figure/form.</p>

Figure C8.F1. Designating Defense Articles for Enhanced End Use Monitoring

Basis For Enhanced End Use Monitoring (EEUM)



Note 1: DSCA staffing will include DSCA (Office of International Operations, Global Execution Directorate, Assistance & Monitoring Division (IOPS/GEX/AMD)), staffing actions led by the End Use Monitoring Division (EUM), DSCA (Office of International Operations, Weapons Directorate (IOPS/WPN)), DSCA (Office of Strategy, Plans, and Policy (SPP)), DSCA (Front Office, Office of the General Counsel (FO/OGC)), and the appropriate MILDEP.

Note 2: Case-Unique EEUM process is identified in Figure C8.F2 and for items not generally treated as EEUM designated as EEUM only for specific FMS export sales.

Figure C8.F2. Standard Process Flow of Defense Articles Designation for Enhanced End Use Monitoring

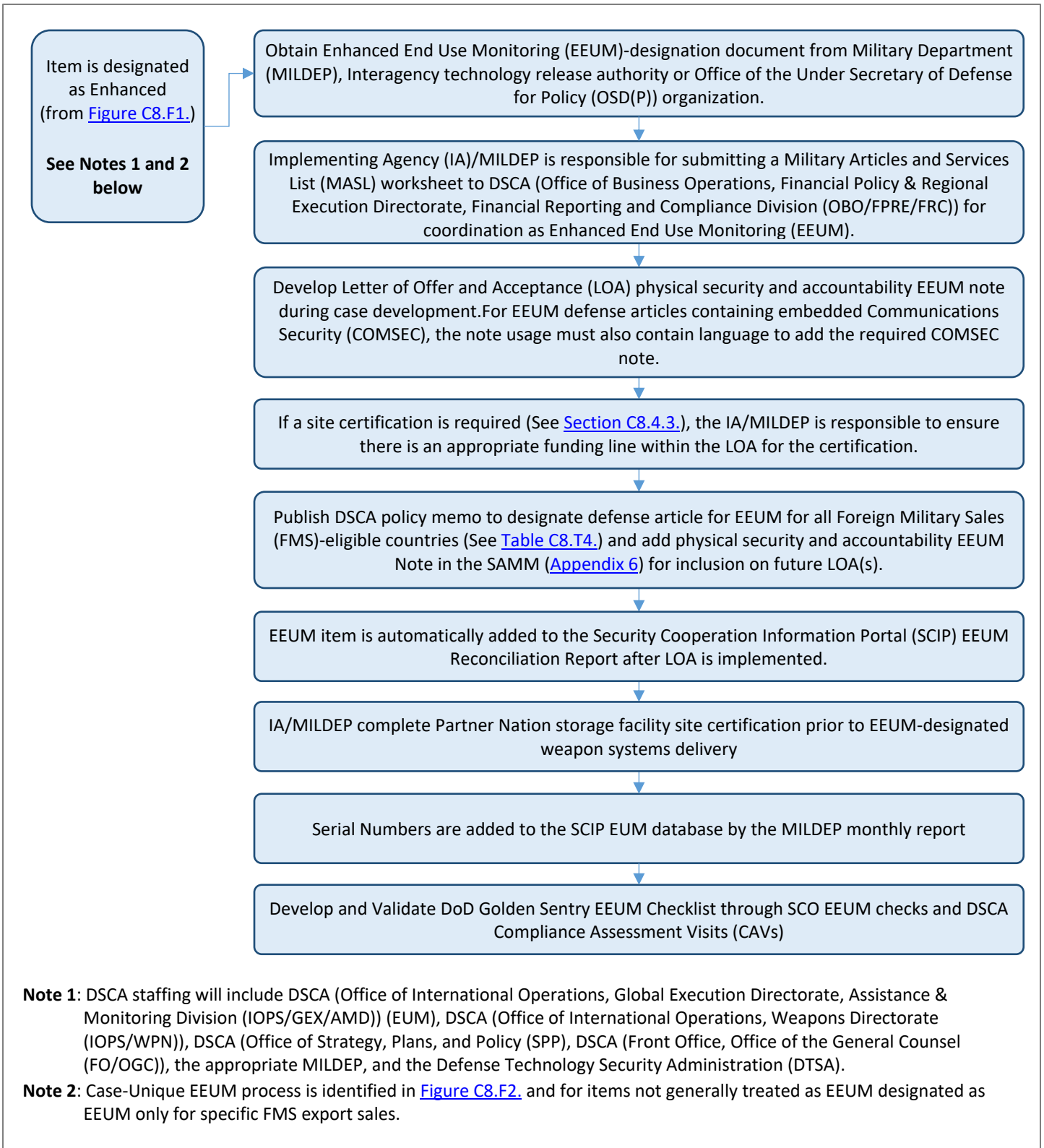


Figure C8.F3. Process Flow of Defense Articles Designation for Case Unique Enhanced End Use Monitoring

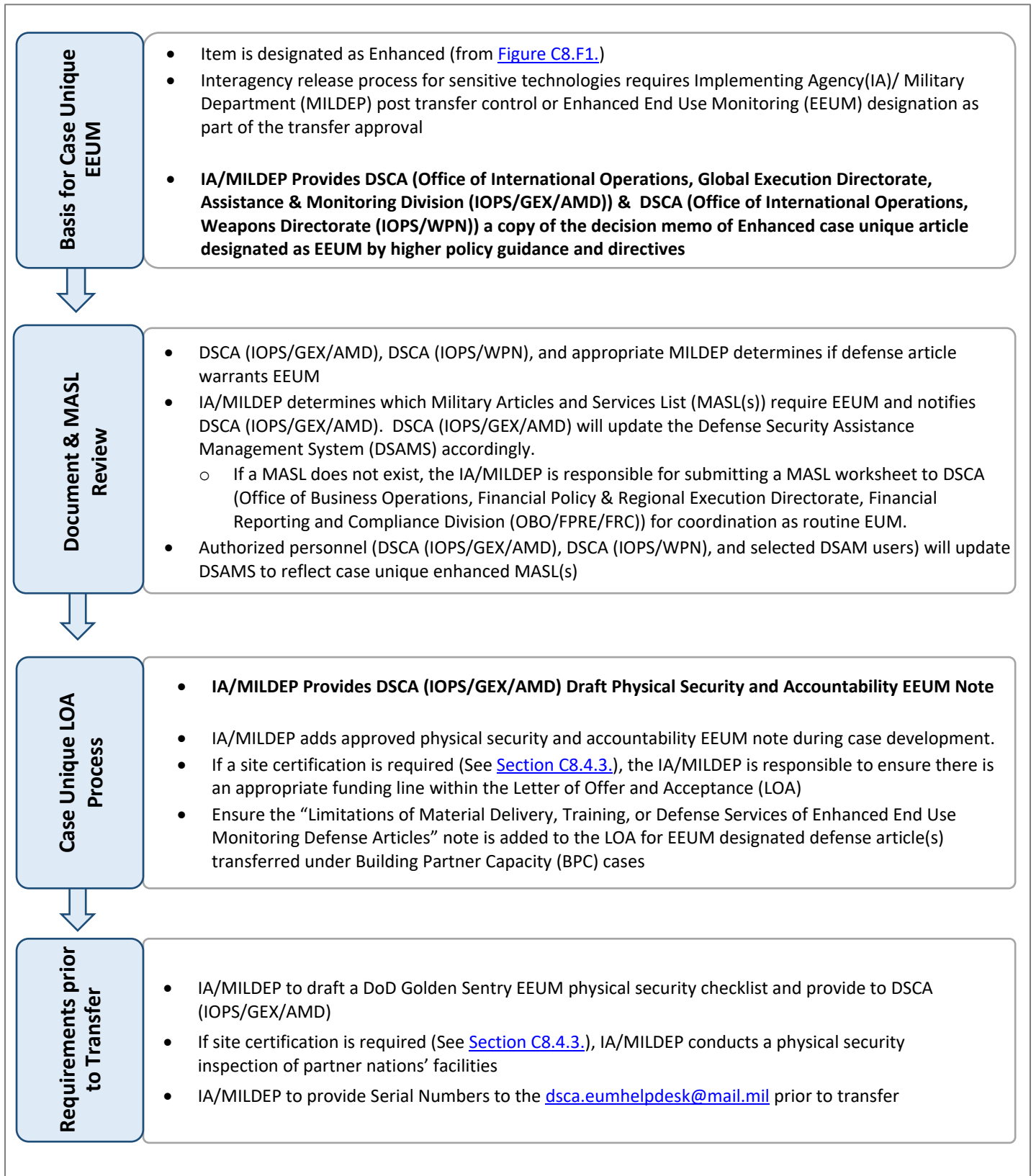
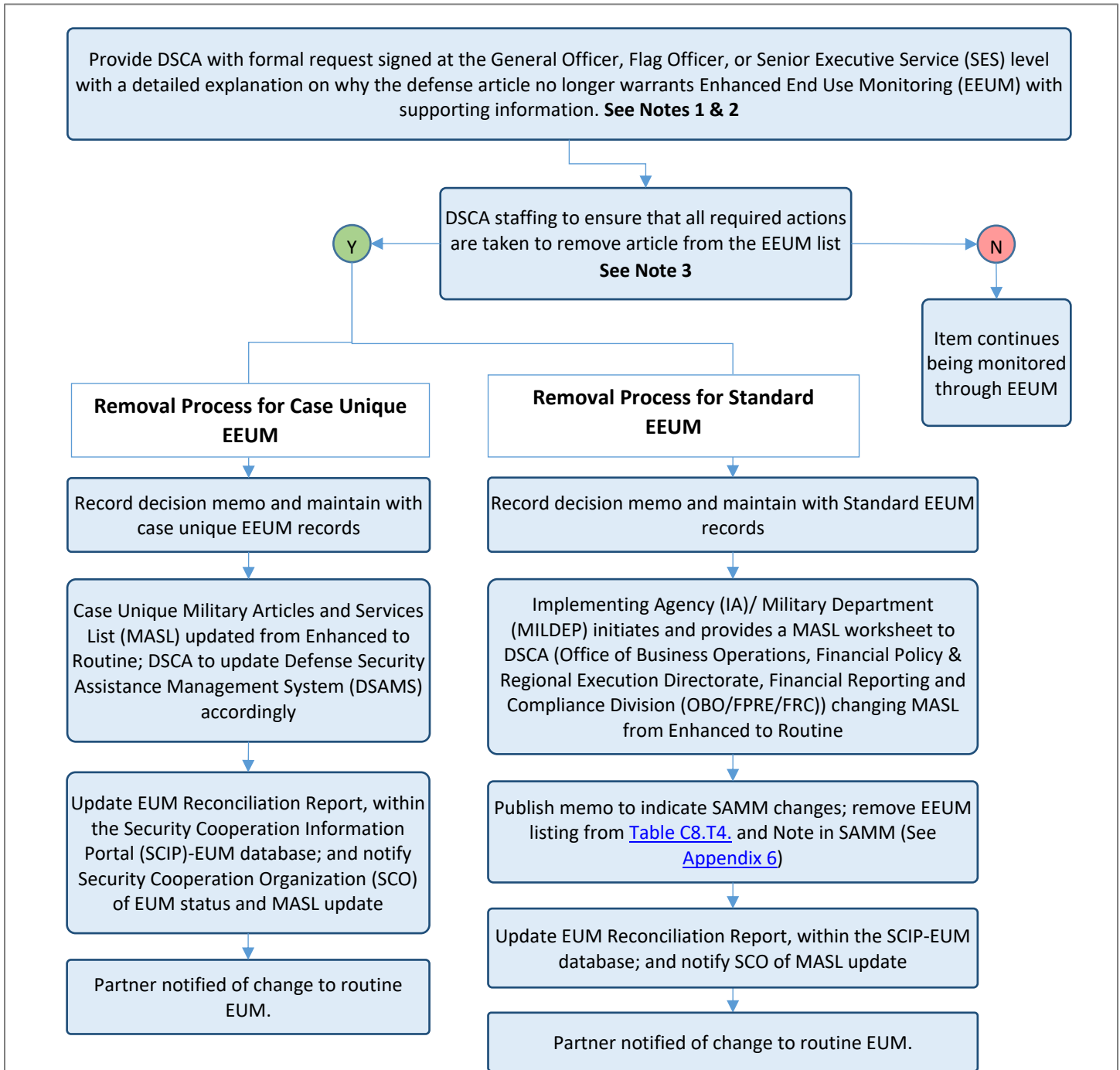


Figure C8.F4. Process Flow for Designating Defense Articles that No Longer Require Enhanced End Use Monitoring



Note 1: MILDEP/IAs are responsible for monitoring and reviewing for currency certain interagency release decisions designating defense articles for EEUM. And for providing recommendations for changes to physical security requirements to DSCA; changes to interagency decisions should be included as part of the supporting information.

Note 2: MILDEP/IA should include a MASL worksheet recommending EEUM to routine EUM as part of the submitted support documentation.

Note 3: DSCA staffing will include DSCA (Office of International Operations, Global Execution Directorate, Assistance & Monitoring Division (IOPS/GEX/AMD)), staffing actions led by the End Use Monitoring Division (EUM), DSCA (Office of International Operations, Weapons Directorate (IOPS/WPN)), DSCA (Office of Strategy, Plans, and Policy (SPP)), DSCA (Front Office, Office of the General Counsel (FO/OGC)), the appropriate MILDEP, and the Defense Technology Security Administration (DTSA) as appropriate.